

OFFICIAL

LAW OFFICE OF HARRY J. MACEY

RECEIVED  
CENTRAL FAX CENTER

JUL 26 2004

## FACSIMILE TRANSMITTAL SHEET

TO:	Examiner Ho Att Unit 3731	FROM:	Harry J. Macey
COMPANY:	United States Patent and Trademark Office	DATE:	07/26/04
FAX NUMBER:	703-872-9306	TOTAL NO. OF PAGES INCLUDING COVER:	47
PHONE NUMBER:	703-306-3421	SENDER'S REFERENCE NUMBER:	CSI-2015
RE:	Supplemental Information Disclosure Statement for USSN 09/828,335	YOUR REFERENCE NUMBER:	

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

This facsimile contains confidential information, which may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Harry Macey immediately by telephone and return it promptly by mail. Thank you.

1301 SHOREWAY ROAD, SUITE 121  
BELMONT, CA 94002-4106  
TEL. 650.654.9555/FAX 650.654.9554

OFFICIAL

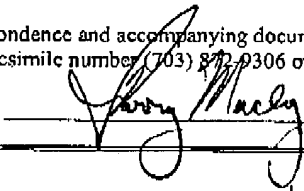
JUL 26 2004

PATENT  
Attorney Docket No.: CSI-2015

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF TRANSMISSION BY "FACSIMILE"

I hereby certify that this correspondence and accompanying documents are being facsimile transmitted to the United States Patent and Trademark Office, facsimile number (703) 872-9306 on July 26, 2004.

  
Harry Macey

In re Application of:

Nguyen, et al.

Serial No.: 09/828,335

Filing Date: April 5, 2001

Title: MULTIPLE LOOP TISSUE  
CONNECTOR APPARATUS AND  
METHODS

Examiner: U. Ho

Group Art Unit: 3731

## TRANSMITTAL

Commissioner for Patents  
Alexandria, VA 22313

Sir:

Transmitted herewith are the following:

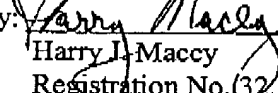
1. Fee Transmittal (1 pg) with a duplicate copy (1 pg)
2. Supplemental Information Disclosure Statement (2 pgs)
3. Substitute Form 1449A (1 pg) and a copy of the cited reference

- ☒ [X] Authorization to charge the \$180.00 fee for the Supplemental Information Disclosure Statement to Deposit Account No. 50-1947 is provided on the Fee Transmittal. A duplicate copy of that document is enclosed.
- ☐ [ ] The Commissioner is hereby authorized to charge any fees required by this submission to Deposit Account No. 50-1947 referencing Attorney Docket No. \*.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1947, referencing Attorney Docket No. CSI-2015.

Respectfully submitted,

Date: July 26, 2004

By:   
Harry J. Macey  
Registration No. 32,818

LAW OFFICE OF HARRY J. MACEY  
1301 Shorway Road, Suite 121  
Belmont, CA 94002-4106  
Telephone: 650.654.9555  
Facsimile: 650.654.9554

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003, Patent fees are subject to annual revision.

**Complete if Known**

Application Number **09/828,335**  
 Filing Date **April 5, 2001**  
 First Named Inventor **John D. Nguyen**  
 Examiner Name **U. Ho**  
 Art Unit **3731**  
 Attorney Docket No. **CSI-2015**

☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT **(\$)** 180.00**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number **50-1847**  
 Deposit Account Name **LAW OFFICE OF HARRY J. MACEY**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) **(\$)** 0.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	x	=
Indep. Claims	-3** =	x	=
Multiple Dependent			=

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) **(\$)** 0.00

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	280	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1406	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1808	180	1808	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(e))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)** 180.00**SUBMITTED BY**

Name (Print/Type) **Harry J. Macey** Registration No. (Attorney/Agent) **32,818** Telephone **830-654-8555**  
 Signature *Harry J. Macey* Date **July 26, 2004**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

COPY

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) **180.00****METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None  
Order☒ Deposit Account:

Deposit

Account

Number

Deposit

Account

Name

50-1847

LAW OFFICE OF HARRY J. MACEY

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee	Fee	Fee Description
Code	Code	(\$)	(\$)	
1001	770	2001	385	Utility filing fee
1002	340	2002	170	Design filing fee
1003	530	2003	265	Plant filing fee
1004	770	2004	385	Reissue filing fee
1005	160	2005	80	Provisional filing fee

Fee Paid

**SUBTOTAL (1) (\$)** **0.00****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	x	=
Indep. Claims	-3** =	x	=
Multiple Dependent			=

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) | Code (\$) | Code (\$) |

1202 18 2202 9

1201 88 2201 43

1203 290 2203 145

1204 86 2204 43

1205 18 2205 9

Fee Description

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

\*\* Reissue independent claims

over original patent

\*\* Reissue claims in excess of 20

and over original patent

**SUBTOTAL (2) (\$)** **0.00**

\*\*or number previously paid, if greater; For Reissues, see above.

**Complete if Known**

Application Number **09/828,335**

Filing Date **April 5, 2001**

First Named Inventor **John D. Nguyen**

Examiner Name **U. Ho**

Art Unit **3731**

Attorney Docket No. **CSI-2015**

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) | Code (\$) | Code (\$) |

1051 130 2051 85

1052 50 2052 25

1053 130 2053 130

1812 2,520 2012 2,520

1804 920\* 2004 920\*

1805 1,840\* 2005 1,840\*

1251 110 2251 55

1252 420 2252 210

1253 950 2253 475

1254 1,480 2254 740

1255 2,010 2255 1,005

1401 330 2401 165

1402 330 2402 165

1403 280 2403 145

1451 1,510 2451 755

1452 110 2452 55

1453 1,330 2453 665

1501 1,330 2501 665

1502 480 2502 240

1503 840 2503 420

1406 130 2406 65

1807 50 2807 25

1808 180 2808 90

8021 40 8021 40

1809 770 2809 385

1810 770 2810 385

1801 770 2801 385

1802 900 2802 450

Fee Description

Surcharge - late filing fee or oath

Surcharge - late provisional filing fee or cover sheet

Non-English specification

For filing a request for ex parte reexamination

Requesting publication of SIR prior to

Examination action

Requesting publication of SIR after

Examiner action

Extension for reply within first month

Extension for reply within second month

Extension for reply within third month

Extension for reply within fourth month

Extension for reply within fifth month

Notice of Appeal

Filing a brief in support of an appeal

Request for oral hearing

Petition to institute a public use proceeding

Petition to revive - unavoidable

Petition to revive - unintentional

Utility issue fee (or reissue)

Design issue fee

Plant issue fee

Petitions to the Commissioner

Processing fee under 37 CFR 1.17(q)

Submission of Information Disclosure Stmt

Recording each patent assignment per

property (times number of properties)

Filing a submission after final rejection

(37 CFR § 1.129(a))

For each additional invention to be

examined (37 CFR § 1.129(b))

Request for Continued Examination (RCE)

Request for expedited examination

of a design application

Fee Paid

180.00

180.00

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

180.00

**SUBMITTED BY**

Name (Print/Type)	Harry J. Macey	Registration No. (Attorney/Agent)	32,818	Telephone	650-654-8585
Signature		Date	July 26, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PAGE 5/47 \* RCVD AT 7/26/2004 8:48:15 PM [Eastern Daylight Time] \* SVR:USPTO-EFXXF-1/2 \* DNIS:8729306 \* CSID:6506549554 \* DURATION (mm-ss):15-16